

# The Homotopy Test

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A fundamental problem when dealing with curves on surfaces is to decide if a given closed curve can be contracted to a point, or more precisely to a constant curve. This is sometimes referred to as the **contractibility problem**. More generally, we can ask whether two closed curves on a surface are related by a continuous deformation. This question has two variants: we may or may not require the curves to share a given point that remains fixed during the deformation. Note that the problem with fixed point has an obvious reduction to the contractibility problem. Indeed, two curves  $c, d$  are homotopic with fixed point if and only if the concatenation  $c \cdot d^{-1}$  is contractible. Without the fixed point requirement, that is when the curves are allowed to move *freely* on the surface, the problem is known as the **transformation problem** and can be expressed as a **conjugacy problem**. To see this, choose a point  $v$  on a surface  $S$  and suppose that  $c$  and  $d$  are homotopic<sup>1</sup>. We can deform  $c$  and  $d$  so that each of them passes through  $p$ . The resulting curves are still homotopic. In other words, there is a continuous mapping  $h : \mathbb{S}^1 \times [0, 1] \rightarrow S$  such that  $h|_{\mathbb{S}^1 \times \{0\}} = c$  and  $h|_{\mathbb{S}^1 \times \{1\}} = d$ , and viewing  $\mathbb{S}^1 \times [0, 1]$  as an annulus, each boundary has a point sent on  $v$  by  $h$ . We connect these two points by a simple path  $a$  in the annulus. The map  $h$  sends this path to a closed path  $\alpha$ . See Figure 1. Cutting the annulus through  $a$  we obtain a disk whose boundary

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<sup>1</sup>Homotopy without fixed point is often called *free* homotopy. For concision, we drop the term free. In general, it should be clear from the context whether we use free homotopy or homotopy with fixed point, and we will specify when necessary that the homotopy is with fixed point.

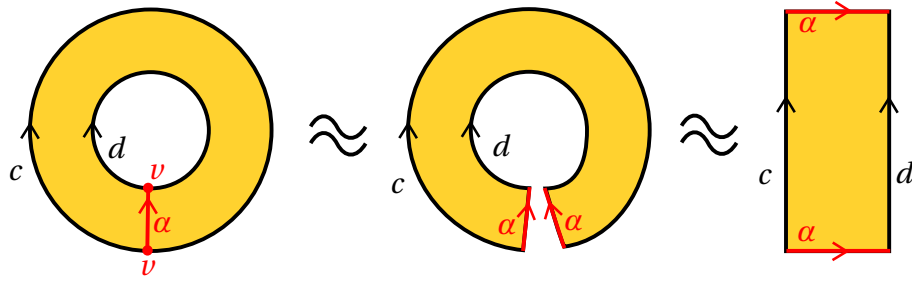


Figure 1:  $c$  and  $d$  are homotopic if and only if their homotopy classes are conjugate.

is sent to  $c \cdot \alpha \cdot d^{-1} \cdot \alpha^{-1}$  which is thus contractible. Hence,  $c$  is homotopic to  $\alpha \cdot d \cdot \alpha^{-1}$  or, equivalently, the homotopy classes of  $c$  and  $d$  are conjugate in the fundamental group  $\pi_1(S, v)$ . For the reverse implication, if  $c$  and  $d$  have conjugate homotopy classes we can just read Figure 1 from right to left and conclude that  $c$  and  $d$  are indeed homotopic.

## 1 Dehn's Algorithm

Suppose that  $S$  is a **reduced** combinatorial surface, that is a map with a single vertex and a single face. Its graph  $G$  is thus composed of loop edges, each of which corresponds to a generator of the fundamental group of  $S$ . We can directly read the homotopy class of a closed path in  $G$ : the sequence of arcs of the path translates to the product of the corresponding generators and their inverses. This product is often viewed as a **word** on the generators and their inverses, so that the contractibility problem is the same as the **word problem** where we ask if a product of generators and their inverses is the trivial element in the fundamental group of  $S$ .

Max Dehn was among the first to establish and exploit the connection between Topology (the contractibility problem) and Algebra (the word problem). He proposed a solution to the word problem now known as **Dehn's algorithm** [Sti87, paper 5]. Dehn observed that the lift of  $G$  in the universal covering space of  $S$  induces a tessellation of the plane composed of copies of the unique polygonal face of  $G$  in  $S$ . This tessellation is actually the **Cayley complex** of  $\pi_1(S, v)$  where  $v$  is the unique vertex of  $G$ . This complex  $\tilde{S}$  is relative to the set of generators  $\{\beta_i\}_i$  of  $\pi_1(S, v)$  – the homotopy classes of the loop edges in  $G$  – and to their relation  $F$  obtained from the unique facial walk of  $G$  in  $S$ . The vertex set of  $\tilde{S}$  are the elements of  $\pi_1(S, v)$  and there is an (oriented) edge labelled  $\beta_i$  between every  $\alpha \in \pi_1(S, v)$  and  $\alpha \cdot \beta_i$ . Finally, disks are glued along each closed path labelled by  $F$  in the resulting graph. If a closed path  $c$  in  $G$  is contractible in  $S$ , then any lift is a closed path in  $\tilde{S}$ . Dehn further claims that

*any closed path in  $\tilde{S}$  contains either a **spur**, i.e. an arc followed by its opposite arc, or more than half of  $F$ , i.e. a subpath labelled by some word  $U$  such that for some other  $V$  shorter than  $U$ , the concatenation  $UV$  is a cyclic permutation of  $F$  or its inverse.*

In both cases  $c$  is homotopic to a shorter closed path obtained by removing the spur in the former case and by replacing the path labelled by  $U$  with the complementary path labelled by  $V^{-1}$  in the latter case. This leads to an algorithm where we inductively search for spurs or large pieces of  $F$  until we obtain a word that we cannot reduce anymore. It then follows from Dehn's claim that  $c$  is contractible if and only if this word is empty.

In order to prove his claim, Dehn notes that the faces of the complex  $\tilde{S}$  are arranged in rings of faces  $R_1, R_2, \dots$ , where  $R_1$  is the set of faces incident with a given vertex<sup>2</sup>  $v_0$  of  $\tilde{S}$  and  $R_{i+1}$  is the set of faces not in  $R_i$  sharing a vertex with the external boundary of  $R_i$ . Remark that a face of  $R_{i+1}$  has at most two vertices in  $R_i$ . Hence, if  $S$  is an orientable surface of genus  $g \geq 2$ , each face has  $4g$  sides and a face of a ring has at least  $4g - 2 > 2g$  vertices on its external boundary. Consider now a closed path  $\tilde{c}$  without spurs and passing through  $v_0$ . Let  $i$  be maximal such that  $\tilde{c}$  contains a vertex of the external boundary of  $R_i$ . Figure 2 illustrates a factious case of a relation of length 6. Since  $\tilde{c}$

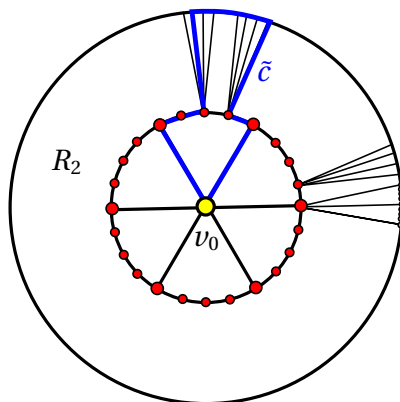


Figure 2: The faces of the complex  $\tilde{S}$  are arranged in rings of faces.

has no spurs it is easily seen that it contains the whole intersection of a face with the external boundary of  $R_i$ . The previous remark allows to conclude the claim.

Dehn's algorithm has a simple implementation that runs in  $O(g|c|)$  time where  $g$  is the genus of  $S$ . A more careful implementation with  $O(g + |c| \log g)$  time complexity was described by Dey and Schipper [DS95]. Finally, optimal  $O(g + |c|)$  algorithms were proposed [LR12, EW13]. We shall describe another approach to the contractibility and deformation problems, not so far from Dehn's original approach but including more recent techniques borrowed from geometric group theory.

## 2 van Kampen Diagrams

### 2.1 Disk Diagrams

A useful tool concerning contractible curves is provided by the so called **van Kampen diagrams**. Such diagrams bear different names in the literature, among which **disk diagrams** and **Dehn diagrams** are the most common. Intuitively, a disk diagram allows to express the combinatorial counterpart of the following characterization of contractible loops in a topological space  $X$ : a loop  $\mathbb{S}^1 = \partial \mathbb{D}^2 \rightarrow X$  is contractible if and only if it extends to a continuous map  $\mathbb{D}^2 \rightarrow X$ , where  $\mathbb{D}^2$  is the unit disk. Given a combinatorial map  $M$  with graph  $G$ , a **disk diagram over  $M$**  is a combinatorial sphere  $D$  with a marked **outer face**, and a labelling of the arcs of  $D$  by the arcs of  $M$  such that opposite arcs are labelled by opposite arcs and such that every facial walk of  $D$  that is not the outer face is labelled by some facial walk of  $M$ . In other words,  $D$  is a gluing of faces and edges of

<sup>2</sup>In his original work, Dehn defines  $R_1$  as a single face.

$M$  that is homeomorphic to the complement of an open disk in a sphere. For instance, this complement could be a tree. In general, it is a tree-like arrangement of topological closed disks connected by trees. The facial walk of the outer face of  $D$  is denoted by  $\partial D$ . The diagram is **reduced** if any two of its *inner* faces (*i.e.* not the outer one) sharing a vertex  $v$  are labelled by facial walks that are not inverse to each other when starting the facial walks at  $v$ .

**Lemma 2.1** (van Kampen, 1933). *A closed path  $c$  in  $M$  is contractible if and only if it is the label of the outer facial walk of a reduced disk diagram over  $M$ .*

The proof uses the intuitive fact that homotopic closed paths are **combinatorially homotopic**, where a combinatorial homotopy is a sequence of **elementary homotopies** that consist in either inserting or removing a spur, or replacing a subpath of a facial walk by the complementary subpath. See Theorem 4.7 in the previous lecture notes.

**PROOF OF LEMMA 2.1.** We first prove the existence of a not necessarily reduced disk diagram. Let  $c_0 = 1 \rightarrow c_1 \rightarrow \dots \rightarrow c_k = c$  be a sequence of  $k$  elementary homotopies attesting the contractibility of  $c$ , where 1 denotes a constant path. By induction on  $k$ , we may assume the existence of a disk diagram  $D$  such that  $\partial D$  is labelled by  $c_{k-1}$ . There are three cases to consider.

- If  $c_{k-1} \rightarrow c_k$  consists in inserting a spur  $aa^{-1}$ , then we can form a disk diagram for  $c_k$  by attaching a pendant edge labelled with  $a$  to the boundary of  $D$ .
- If  $c_{k-1} \rightarrow c_k$  consists in removing a spur, then either this spur corresponds to two consecutive arcs of  $\partial D$  with distinct edge support or it corresponds to the two arcs of a single pendant edge. In the former case, we form a disk diagram for  $c_k$  by gluing the two arcs along  $\partial D$ . In the latter case, we contract the pendant edge.
- Otherwise,  $c_{k-1} \rightarrow c_k$  consists in the replacement of a subpath  $p$  by a subpath  $q$  such that  $pq^{-1}$  is a facial walk of  $M$ . We then perform a subdivision of the outer face of  $D$ , inserting a new edge between the extremities of  $p$ . The new outer face is chosen among the two new faces as the one not bounded by  $p$ . We next subdivide the new edge  $k-1$  times, where  $k$  is the number of arcs of  $q$ . We finally extend the labelling trivially by sending the subdivided edge to the edges of  $q$ . This amounts to glue a face with facial walk  $pq^{-1}$  along  $p$  on  $D$ .

If the resulting diagram is not reduced, then there are two facial walks sharing a vertex  $v$  and labelled by opposite facial walks of  $M$ . We “open”  $D$  at  $v$  and identify the two facial walks according to the labels of their arcs. This produces a new diagram with two faces less. We repeat the procedure as long as the diagram is not reduced. By induction on the number of faces this procedure must end. Note that the final diagram may have no face, in which case its graph must be a tree corresponding to a closed path that can be reduced to a point by removing spurs only.  $\square$

*Exercise 2.2.* Relates the degree of an inner vertex in a reduced disk diagram over  $M$  with the degree of the corresponding vertex in  $M$ .

## 2.2 Annular Diagrams

There is an analogous notion of **annular diagram** defined by a combinatorial sphere with two marked outer faces instead of one.

**Lemma 2.3** (Schupp, 1968). *Two closed paths  $c$  and  $d$  in  $M$  are homotopic if and only if there exists a reduced annular diagram over  $M$  such that the facial walks of its outer faces (oriented consistently) are labelled with  $c$  and  $d$  respectively.*

**PROOF.** By the introductory discussion there exists a path  $p$  such that  $c \cdot p \cdot d^{-1} \cdot p^{-1}$  is contractible. By Lemma 2.1, there exists a disk diagram over  $M$  whose boundary is labelled with  $c \cdot p \cdot d^{-1} \cdot p^{-1}$ . We may identify the subpaths corresponding to  $p$  and  $p^{-1}$  respectively and get an annular diagram whose perforated faces are labelled with  $c$  and  $d$ . If the diagram is not reduced, we proceed as in the proof of Lemma 2.1.  $\square$

## 3 Gauss-Bonnet Formula

Another interesting tool is given by a combinatorial version of the famous Gauss-Bonnet theorem. This theorem relates the curvature of a Riemannian surface  $S$  (say a smooth surface embedded into  $\mathbb{R}^3$ ) with its Euler characteristic  $\chi$ , hence a local geometric quantity with a global topological one. If  $K$  is the Gauss curvature of  $S$  and  $k_g$  is the geodesic curvature along its (smooth) boundary  $\partial S$  then:

$$\int_S K \, ds + \int_{\partial S} k_g \, dl = 2\pi \chi$$

We can obtain a combinatorial version of this formula using some kind of angle structure over a combinatorial surface. Given an orientable combinatorial map  $M = (A, \rho, \iota)$ , we consider an angular assignment of its corners, that is a real function  $\theta$  defined over the set of corners. Here, a **corner** is any pair  $(a, \rho(a))$ , for  $a \in A$ , of successive arcs around a vertex. We require that the sum of the angular assignments of the corners of any face  $f$  satisfies

$$\sum_{c \in f} \theta(c) = d_f / 2 - 1, \quad (1)$$

where  $d_f$  is the degree of the face, *i.e.* the length of its facial walk. Intuitively, this condition amounts to assume that the faces are Euclidean polygons if we view an angular assignment as a normalized angle, measuring angles in terms of parts of a circle instead of radians. We then define the curvature of an interior vertex  $v$  as

$$\kappa(v) = 1 - \sum_{c \in v} \theta(c), \quad (2)$$

where,  $c \in v$  indicates that the corner  $c = (a, \rho(a))$  is incident to the source vertex  $v$  of  $a$ . We also define the (geodesic) curvature of a boundary vertex<sup>3</sup>  $v$  as

$$\tau(v) = 1/2 - \sum_{c \in v} \theta(c) \quad (3)$$

<sup>3</sup>Formally, a combinatorial surface with boundary is defined by marking some faces as perforated, and a boundary vertex is any vertex incident to a perforated face.

Those curvatures thus measure the angle default with respect to the flat situation ( $\kappa = 1$  and  $\tau = 1/2$ ).

**Theorem 3.1** (Combinatorial Gauss-Bonnet —). *Let  $M$  be a combinatorial map whose boundary is composed of disjoint simple cycles in the graph of  $M$ . Denote by  $\chi$  the Euler characteristic of  $M$  and by  $V^o \cup V^\partial = V$  its interior and boundary vertex sets. Then, for any angular assignment, we have*

$$\sum_{v \in V^o} \kappa(v) + \sum_{v \in V^\partial} \tau(v) = \chi$$

It is possible to drop the condition on the boundary of  $M$  using a slightly different notion of curvature, see Erickson and Whittlesey [EW13]. The present presentation is inspired by Gersten and Short [GS90] and makes the parallel with the differentiable version rather transparent.

PROOF. By definition, we compute

$$\sum_{v \in V^o} \kappa(v) = |V^o| - \sum_{c \in V \in V^o} \theta(c) \quad \text{and} \quad \sum_{v \in V^\partial} \tau(v) = |V^\partial|/2 - \sum_{c \in V \in V^\partial} \theta(c)$$

It follows that  $\sum_{v \in V^o} \kappa(v) + \sum_{v \in V^\partial} \tau(v) = |V| - |V^\partial|/2 - \sum_{c \in V \in V} \theta(c)$ . By distributing the corners according to faces rather than vertices and by the angular assignment requirement (1), we see that

$$\sum_{c \in V \in V} \theta(c) = \sum_{c \in f \in F} \theta(c) = \sum_{f \in F} \left( \frac{d_f}{2} - 1 \right) = \frac{1}{2} \sum_{f \in F} d_f - |F|$$

where  $F$  is the set of faces of  $M$ . Since every arc appears in exactly one facial walk, except for those on the boundary of  $M$ , we have:  $\sum_{f \in F} d_f = 2|E| - |E^\partial|$  where  $E$  and  $E^\partial$  are the set of edges and boundary edges respectively. Since  $|E^\partial| = |V^\partial|$ , we conclude that

$$\begin{aligned} \sum_{v \in V^o} \kappa(v) + \sum_{v \in V^\partial} \tau(v) &= |V| - |V^\partial|/2 - (|E| - |E^\partial|/2) - |F| \\ &= |V| - |E| + |F| \end{aligned}$$

□

## 4 Quad Systems

From an algorithmic point of view it is more convenient to work with combinatorial surfaces all of whose faces are quadrilaterals. We call such a surface a **quadrangulation** or a **quad system**. Given a combinatorial surface without boundary, we easily get a quadrangulation of the same topological surface as follows. We insert a vertex inside each face and connect this vertex to all the corners of the face. Hence, if a facial walk has length  $k$  we introduce  $k$  new edges in the face. This subdivides each face into triangles. We then delete all the edges of the original graph, thus merging all the triangles by pairs

to form quadrilaterals. In practice, we will also require that the vertices have a high degree, say at least 8. For a surface of genus  $g \geq 2$  this is easily obtained by first reducing the combinatorial surface to a single vertex and a single face before applying the above quadrangulation process. The resulting quadrangulation has two vertices,  $4g$  edges and  $2g$  quadrilaterals. Figure 3 shows a reduced surface and its quadrangulation.

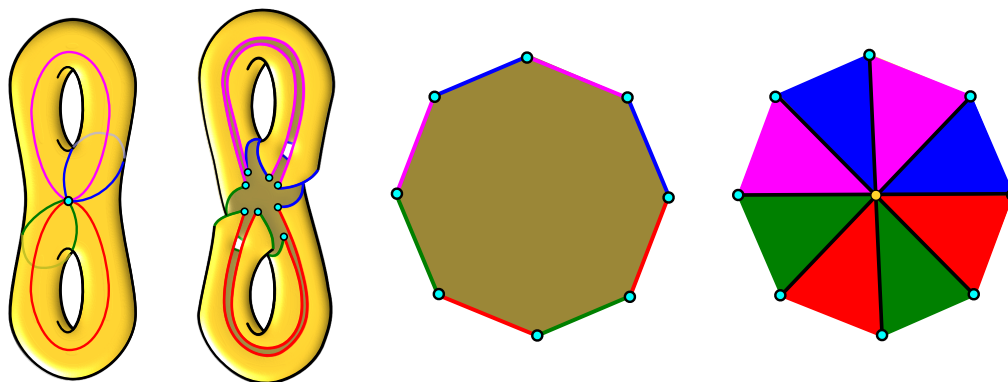


Figure 3: From left to right, a reduced surface is cut-opened and its unique face is triangulated by inserting a vertex in the center. Triangles of the same color are merged by deleting the original loop edges.

**Lemma 4.1.** *Let  $Q$  be a quadrangulation derived by the previous process from a given map  $M$  without boundary. We can preprocess  $M$  in linear time (proportional to its number of arcs) so that any closed walk  $c$  can be transformed in  $O(|c|)$  time into a homotopic closed walk of size at most  $2|c|$  in  $Q$ .*

To see this, consider a spanning tree  $T$  of the graph  $G$  of  $M$ . Contracting  $T$  gives a surface  $M'$  with graph  $G/T$  and with a single vertex. Next consider a spanning tree of the dual graph of  $M'$  and denote by  $L$  the corresponding set of primal edges. Deleting the edges in  $L$  leaves a reduced surface  $M''$  and we construct  $Q$  by first inserting a new vertex  $z$  in the unique face of  $M''$  together with all the edges from  $z$  to the corners of the face. We finally remove the remaining edges of  $G/T$  to get  $Q$ . Note that any edge  $e$  of  $G/T$  is homotopic to the path of length two in  $Q$  connecting  $z$  to the two endpoints of  $e$ . We can precompute and store these length two paths for each  $e$  in total linear time. Now, given any  $c$ , we contract all the occurrences of edges of  $T$  in  $c$  to obtain a homotopic closed walk  $c'$  in  $M'$ . We further replace every remaining edge by the corresponding length two path to obtain a homotopic closed walk as desired in  $Q$ . This transformation takes  $O(|c|)$  time.

*Exercise 4.2.* Propose a construction of quadrangulation starting from a combinatorial surface with nonempty boundary. Can you extend Lemma 4.1 accordingly?

## 5 Canonical Representatives

The last and most important ingredient of the homotopy test is the construction of a canonical representative in each free homotopy class. Given a closed walk in a quadrangulation



gulation, the idea is to shorten the walk as much as possible to obtain a combinatorial geodesic. As a homotopy class may contain several geodesics, we further consider the *rightmost* geodesic to define a canonical representative. Once a canonical representative has been computed for two given closed walks we can decide if the walks are homotopic by just checking if their representative are equal up to a circular permutation. The shortening process is based on successive simplifications of spurs and brackets as explained below.

### 5.1 The Four Bracket Lemma

Let  $(a_1, a_2)$  be a pair of arcs sharing their origin vertex  $v$  on a quadrangulation  $M$ . Following the terminology of Erickson and Whittlesey [EW13], we define the **turn** of  $(a_1, a_2)$  as the number of corners between  $a_1$  and  $a_2$  in clockwise order around  $v$ . Hence, if  $v$  is a vertex of degree  $d$  in  $M$ , the turn of  $(a_1, a_2)$  is an integer modulo  $d$  that is zero when  $a_1 = a_2$ . The **turn sequence** of a subpath  $(a_i, a_{i+1}, \dots, a_{i+j-1})$  of a closed walk of length  $\ell$  is the sequence of  $j + 1$  turns of  $(a_{i+k}^{-1}, a_{i+k+1})$  for  $-1 \leq k < j$ , where indices are taken modulo  $\ell$ . The subpath may have length  $\ell$ , thus leading to a sequence of  $\ell + 1$  turns. Note that the turn of  $(a_{i+k}^{-1}, a_{i+k+1})$  is zero precisely when  $(a_{i+k}, a_{i+k+1})$  is a spur. A **bracket** is any subpath whose turn sequence has the form  $12^*1$  or  $\bar{1}\bar{2}^*\bar{1}$  where  $t^*$  stands for a possibly empty sequence of turns  $t$  and  $\bar{x}$  stands for  $-x$ . Intuitively, if we imagine that every corner of  $M$  has a right angle, a bracket corresponds to a straight path ending with right angles. A quadrangulated disk is **non-singular** if its boundary is a simple cycle of its graph.

**Lemma 5.1** (Four bracket —, [GS90, EW13]). *Let  $D$  be a non-singular quadrangulated disk all of whose interior vertices have degree at least four. Then, the boundary of  $D$  contains at least four brackets.*

Figure 4 illustrates the Lemma.

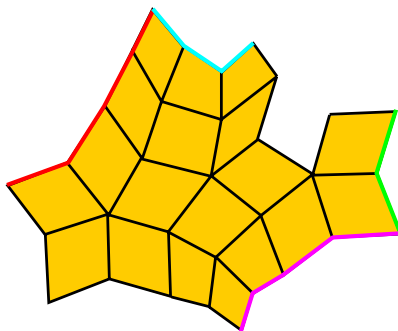


Figure 4: The quadrangulated disk has four highlighted brackets. Can you find them all?

**PROOF.** Consider the constant angular assignment  $1/4$  over  $D$ . By the Gauss-Bonnet theorem 3.1, we have  $\sum_{v \in \text{int} D} \kappa(v) + \sum_{v \in \partial D} \tau(v) = \chi(D) = 1$ . By (2), every interior vertex has non-positive curvature. It follows that

$$\sum_{v \in \partial D} \tau(v) \geq 1 \tag{4}$$



Remark that  $\tau(v) = (2 - c_v)/4$  where  $c_v$  is the number of corners incident to the boundary vertex  $v$ . Call  $v$  **convex**, **flat** or **concave** if  $c_v = 1$ ,  $c_v = 2$  or  $c_v \geq 3$  respectively. In other words  $v$  is convex, flat or concave if its curvature is respectively  $1/4$ , zero or negative. Inequality (4) implies that the boundary of  $D$  contains at least four more convex vertices than concave vertices. The lemma easily follows.  $\square$

**Corollary 5.2.** *A nontrivial contractible closed walk in a quadrangulation all of whose interior vertices have degree at least four contains either a spur or a bracket.*

**PROOF.** Suppose that a nontrivial contractible closed walk  $c$  has no spurs. By the van Kampen Lemma 2.1,  $c$  is the label of the boundary of a reduced disk diagram  $D$ . Let  $H$  be the dual graph of  $D$ : it has one dual vertex per quadrilateral of  $D$  and one dual edge for each pair of quadrilaterals sharing an edge. If  $H$  is connected then  $D$  is non-singular. Indeed, if the boundary of its outer facial walk  $\partial D$  was not a cycle it would contain a degree one vertex, which would contradict that  $c$  has no spurs. We can thus apply the four brackets Lemma 5.1 to conclude that  $\partial D$  has at least one bracket. However, the turn  $t$  at a vertex of  $\partial D$  is the same as the turn of the corresponding vertex in  $c$  (up to a multiple of the degree of that vertex in the quadrangulation). It follows that  $c$  has also a bracket. If  $H$  is not connected, then  $D$  consists of a tree-like arrangement of non-singular disks connected by trees through cut vertices. This arrangement has a “degree one” non-singular disk connected to the rest through a single cut vertex. By the four vertex theorem this disk has four brackets, two of which do not contain the cut vertex. These two brackets thus correspond to brackets in  $c$ .  $\square$

*Exercise 5.3.* Show that we can actually claim the existence of a spur or *four* brackets in Corollary 5.2.

## 5.2 Bracket Flattening

A **bracket flattening** consists in replacing a bracket and the two incident edges with the “straight line” between their endpoints. Some care must be taken when the incident edges of the bracket share their endpoints or when these edges are part of the bracket. Figure 5 depicts the different cases. Corollary 5.2 provides a practical algorithm to test if a given closed walk  $c$  is contractible: remove the spurs and flatten the brackets until there is no more. Then  $c$  is contractible if and only if the resulting walk is reduced to a vertex. Since each spur removal or typical bracket flattening decreases the number of edges by two the number of steps is linear in  $|c|$ . Note that the non typical bracket flattening (Figure 5, Right) may only occur when  $c$  is non-contractible (why?).

## 5.3 Canonical Representatives

A homotopy class may contain distinct closed walks without spurs and brackets. In order to get a canonical representative in each homotopy class we further push such reduced walks as much as possible “to their right”. Say that a vertex of a walk is **convex** if its turn is  $\bar{1}$  in the turn sequence of the walk. If a closed walk  $c$  contains a convex vertex  $v$  we consider the maximal subpath including  $v$  whose turning sequence has the

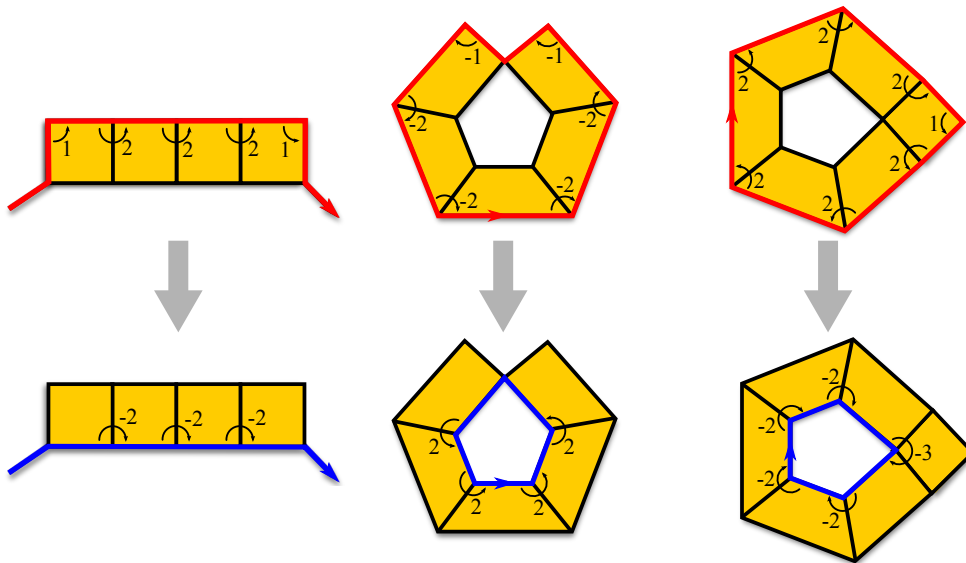


Figure 5: Left, a typical bracket flattening. Middle, the edges incident to the bracket share their endpoints. Right, the bracket covers the whole closed walk.

form  $x\bar{2}^*1\bar{2}^*y$ , where  $x, y \neq \bar{2}$ . This subpath, say  $p$ , bounds an L-shaped sequence of quadrilaterals that lies to its right. Replacing  $p$  by the complementary path bounding the sequence of quadrilaterals gives a closed walk homotopic to  $c$  with one less convex vertex. Note that this replacement does neither introduce a bracket nor a spur. Some care must again be taken when  $p$  covers  $c$ . See Figure 6 for all the possible typical and non-typical configurations. A right push reduces the number of convex vertices by one,

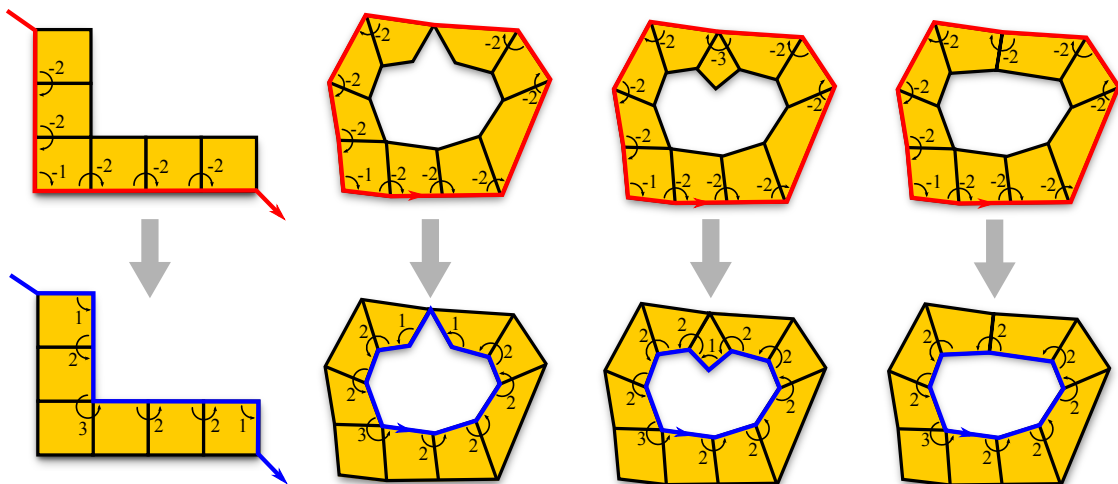


Figure 6: The different configurations for a right push.

so that only a linear number of pushes can be applied. A last exceptional case occurs when the turn sequence of  $c$  is composed of  $\bar{2}$  only. We also apply a right push in this case, which transforms the turn sequence into a sequence of 2 as on Figure 7. When no right pushes apply, the closed walk is said **reduced**.

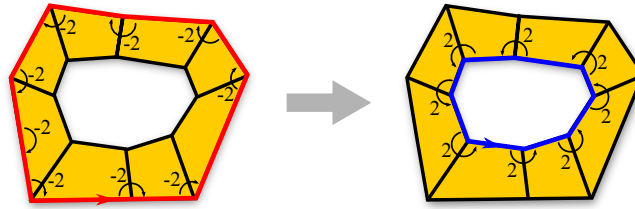


Figure 7: In case all the turns are equal to  $\bar{2}$ , we push the walk to the right to obtain a sequence  $2^*$  of turns.

**Proposition 5.4.** *Let  $M$  be a quadrangulation all of whose vertices have degree at least five. Then each homotopy class contains a unique reduced closed walk.*

PROOF. Let  $c$  and  $d$  be homotopic reduced closed walks. We need to show that  $c = d$ . Following Lemma 2.3 we consider a reduced annular diagram  $A$  for  $c$  and  $d$ . We first claim that the two boundaries of  $A$  are simple. Otherwise, one boundary has a cut vertex that separates  $A$  into a smaller annular part  $A'$  and a disk part  $D$  connected to  $A'$  through a single cut vertex. By the four brackets theorem, the boundary of  $D$  has two brackets disjoint from this cut vertex. In turn, those brackets would appear in  $c$  or  $d$ , contradicting the hypothesis that  $c$  and  $d$  are reduced.

- If the two boundaries of  $A$  have a common vertex then cutting through that vertex gives a disk diagram  $D'$  bounded by (circular permutations of)  $c$  and  $d$ . This diagram is a tree-like arrangement of non-singular disks connected by trees through cut vertices. We also call cut vertices the two common endpoints of  $c$  and  $d$ . If a non-singular disk is incident to a single cut vertex, then it is bounded by a subpath of one of  $c$  or  $d$ . By the four bracket theorem this subpath would contain a bracket, in contradiction with the reduction hypothesis. It follows that  $D'$  is a linear sequence of non-singular disks connected by simple paths (otherwise  $c$  or  $d$  would have a spur). We claim that none of those non-singular disks can have an interior vertex. Otherwise, considering the constant angular assignment  $1/4$  over  $D'$ , this interior vertex would have negative curvature. An argument similar to the proof of the four bracket theorem 5.1 shows that the boundary of  $D'$  would contain five brackets, one of which not incident to any cut vertex. This would again lead to the contradiction that  $c$  or  $d$  has a bracket. The dual graph of each non-singular disk is thus a tree. This tree must actually be a path with the shape of a staircase as  $c$  or  $d$  would otherwise contain a bracket. Assuming that the staircase goes up from left to right, the lower left vertex of the first quadrilateral in the sequence and the upper right vertex of the last quadrilateral must be the two cut vertices. However, one of the two boundary paths between these cut vertices must have a convex vertex on its boundary. This again contradicts the fact that  $c$  and  $d$  are reduced. It follows that  $D'$  has no non-singular disk, hence is a simple path, implying that  $c = d$ .
- Suppose now by way of contradiction that the two boundaries of  $A$  are disjoint. Then we can argue similarly as above that  $A$  has no interior vertex. The dual

graph of  $A$  is thus a single cycle with some attached trees. It must actually be a cycle, since otherwise one of the boundaries of  $A$  would have a bracket. This cycle has to go straight without bending since otherwise  $c$  or  $d$  would have a convex vertex or a bracket. (This last case occurs even with a single bend as on Figure 5, Right.) It follows that one of the boundaries of  $A$  has  $\bar{2}$  turns only as on right Figure 7, contradicting that  $c$  and  $d$  are reduced. In any case we have reached a contradiction, so that the boundaries of  $A$  cannot be disjoint.

□

A reduced closed walk can thus play the role of **canonical representative** for its homotopy class.

## 6 The Homotopy Test

We now have all the necessary ingredients to perform a linear time homotopy test. Thanks to Lemma 4.1, we can assume given two closed walks in a quadrangulation. We compute the canonical form of each closed walk by first removing spurs and brackets as described in Sections 5.2. We can first remove all the spurs in linear time. The flattening of a bracket may introduce new spurs but their removal can be charged to the removed edges, so that the total time spent to remove spurs is still linear in the end. Note that a flattening transforms a bracket into a flat part (a run of 2 turns or of  $\bar{2}$  turns) that may be part of a larger flat part. In order to avoid loosing time for traversing several times the same flat parts, we add jump pointers between the endpoints of each flat part before we perform any flattening. We also store the turns at these endpoints and the length of the flat part. Then, after each bracket flattening we update the turns at the endpoints and check if the resulting flat part should be merged with the at most two surrounding flat parts. This can be done in constant time thanks to the jump pointers. This way each bracket flattening costs a constant time. Since each flattening decreases the number of edges, there can only be a linear number of them and the total cost for removing spurs and brackets is thus linear. The sequence of edges of the resulting closed walk is easily recovered from the jump pointers and the lengths of the flat parts. We just need to know one edge along the walk, which we can update easily as spurs and brackets are simplified.

Once spurs and brackets have been removed we obtain a geodesic that needs to be pushed to its right as described in Section 5.3. Each right push transforms a subpath of the geodesic into another subpath of the same length without  $\bar{1}$  or  $\bar{2}$  turns. It follows that none of the vertices of this subpath will be pushed again. The total time needed to obtain a rightmost geodesic is thus linear. This shows that

**Theorem 6.1.** *The canonical representative of a closed walk  $c$  in a quadrangulation, all of whose vertices have degree at least five, can be computed in  $O(|c|)$  time.*

**Corollary 6.2.** *Given two closed walk of length at most  $\ell$  in a combinatorial map of size  $n$  we can decide if they are homotopic in  $O(n + \ell)$  time.*

PROOF. According to Lemma 4.1, we can reduce the combinatorial map to a quadrangulation in  $O(n)$  time and get closed walks homotopic to the given one in  $O(\ell)$  time. By Theorem 6.1 we can compute the canonical form of the walks in  $O(\ell)$  time. Now these canonical forms, say  $c$  and  $d$ , are homotopic if and only if one is a circular permutation of the other. This can be tested in linear time by checking whether  $c$  is a substring of  $d \cdot d$  thanks to the Knuth-Morris-Pratt string searching algorithm [KMP77] [CLRS02, Sec. 32.4].  $\square$

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